

# A Coq Library of Undecidable Problems

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# Overview

- 1 Synthetic Undecidability in Coq
- 2 Overview over the Library
- 3 Development Issues
- 4 Future Work

# Synthetic Decidability

A problem  $P : X \rightarrow \mathbb{P}$  is decidable if there is  $f : X \rightarrow \mathbb{B}$  s.t.  $\forall x. P_x \leftrightarrow f_x = \text{true}$ .

**Q.:** Why is this definition okay?

**A.:** Because Coq is a programming language and every definable function  $f : X \rightarrow \mathbb{B}$  is computable in a model of computation, provided  $X$  is a datatype like  $\mathbb{N}$ ,  $\mathbb{N} \times \mathbb{B}$ , or  $\text{list}(\mathbb{N}) \times \text{list}(\text{option } \mathbb{B})$ .

# Synthetic Undecidability

A problem  $P : X \rightarrow \mathbb{P}$  is **undecidable** if  
 $\text{dec } P \rightarrow \perp$

does **not** work, because you can consistently assume a decider for every  $P$

Axiom `halting_dec` : `dec Halt`.

where *Halt* is the halting problem of Turing machines is

- 1 consistent (because the set model validates it)
- 2 not provable (because all definable functions are computable)

# Synthetic Turing and many-one reductions

A problem  $P : X \rightarrow \mathbb{P}$  is undecidable if  
 $\text{dec } P \rightarrow \text{dec } \textit{Halt}$ .

$$Q \preceq_T P := \text{dec } P \rightarrow \text{dec } Q$$

## Lemma

*If  $Q \preceq_T P$  and  $Q$  is undecidable, then  $P$  is undecidable.*

$$Q \preceq P := \exists f. \forall x. Qx \leftrightarrow P(fx)$$

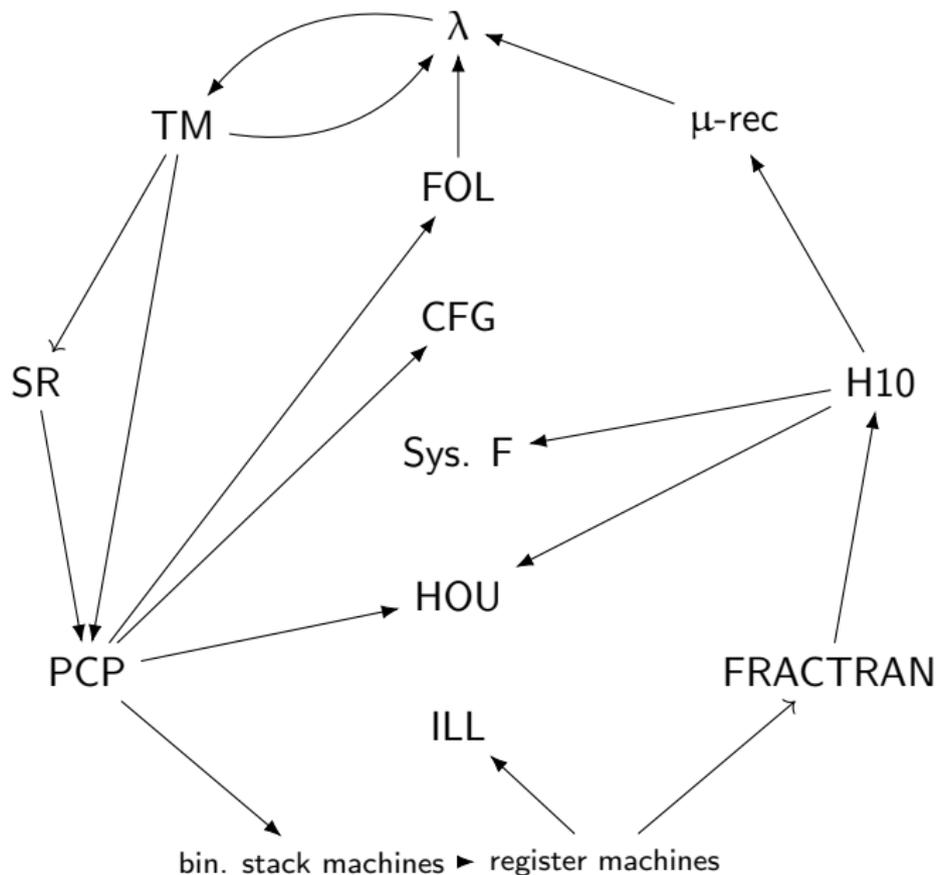
## Lemma

*If  $Q \preceq P$  then  $Q \preceq_T P$ .*

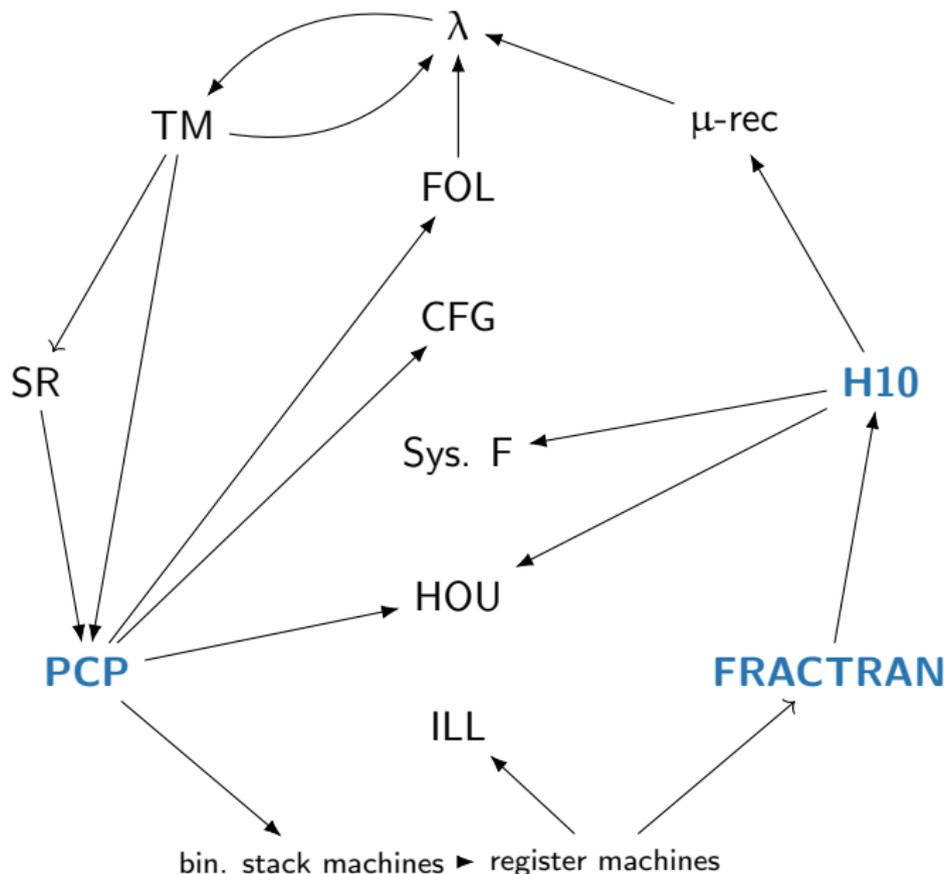


Undecidability proofs should be  
mechanisable

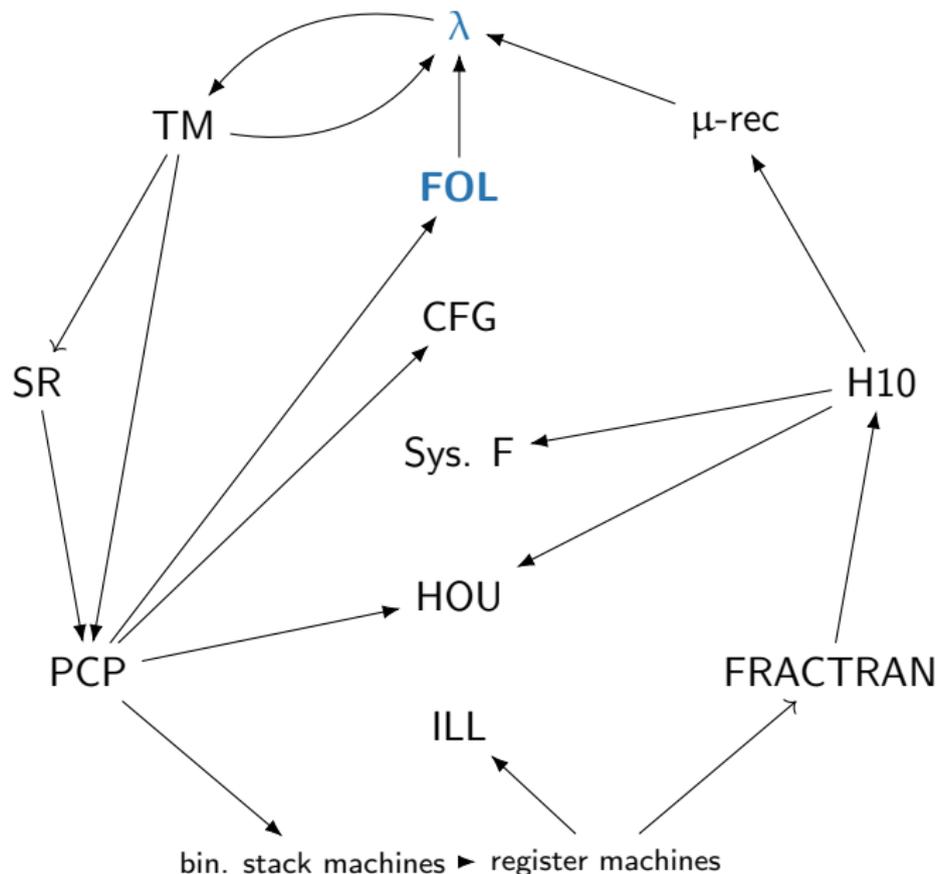
We need a library of potential starting  
points for proofs by reduction



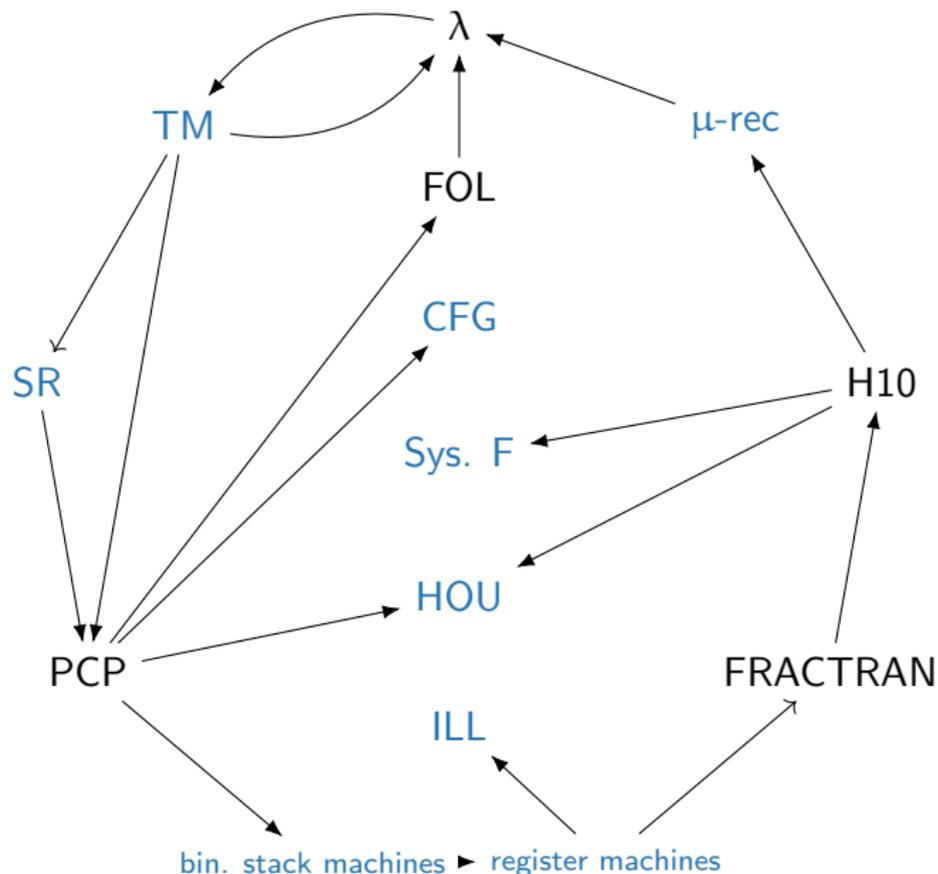
# Seeds



# Targets



# Advanced problems



# Seed 1: PCP

## Verification of PCP-Related Computational Reductions in Coq

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**Abstract.** We formally verify several computational reductions concerning the Post correspondence problem (PCP) using the Coq proof assistant. Our verification includes a reduction of the halting problem for Turing machines to string rewriting, a reduction of string rewriting to PCP, and reductions of PCP to the intersection problem and the palindrome problem for context-free grammars.

Base type:  $\text{list } \mathbb{B} \times \text{list } \mathbb{B}$

Definition:  $\text{PCP}(L) := \exists x : \text{list } \mathbb{B}. L \triangleright (x, x)$

$$\frac{(u, v) \in L}{L \triangleright (u, v)} \quad \frac{L \triangleright (x, y) \quad (u, v) \in L}{L \triangleright (x \uparrow\uparrow u, y \uparrow\uparrow v)}$$

*Good seed for target problems that can express  
string concatenation and simple inductive predicates.*

## Seed 2: Diophantine constraints

### Hilbert's Tenth Problem in Coq

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#### Abstract

We formalise the undecidability of solvability of Diophantine equations, i.e. polynomial equations over natural numbers, in Coq's constructive type theory. To do so, we give the first full mechanisation of the Davis-Putnam-Robinson-Matiyasevich theorem, stating that every recursively enumerable set of numbers is the set of solutions of a Diophantine equation. We formalise an elegant and compact proof by using a syntactic approach to computability and by introducing Coq's `AC` type language as intermediate layer.

2012 ACM Subject Classification Theory of computation → Models and formalisms; Theory of computation → Type theory

Keywords and phrases Hilbert's tenth problem, Diophantine equations, undecidability, computability theory, induction, Minsky machines, Peano arithmetic, Coq type theory

FSCD19

Base type: `list C` where  $C ::= x \dot{+} y \dot{=} z \mid x \dot{\times} y \dot{=} z \mid x \dot{=} 1$ .

Definition:  $H10(C) := \exists \delta : \text{var} \rightarrow \mathbb{N}. \delta \models C$  where

$$\delta \models x \dot{+} y \dot{=} z := \delta x + \delta y = \delta z$$

$$\delta \models x \dot{\times} y \dot{=} z := \delta x \cdot \delta y = \delta z$$

$$\delta \models x \dot{=} n := \delta x = n$$

$$\delta \models C := \forall c \in C. \delta \models c$$

*Good seed for target problems that can express addition and multiplication.*

# Seed 3: FRACTRAN

## Hilbert's Tenth Problem in Coq

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### Abstract

We formalise the undecidability of solvability of Diophantine equations, i.e. polynomial equations over natural numbers, in Coq's constructive type theory. To do so, we give the first full mechanisation of the Davis-Putnam-Robinson-Matiyasevich theorem, stating that every recursively enumerable set of problems – is one case by a Turing machine – is Diophantine. We obtain an elegant and compact proof by using a synthetic approach to computability and by introducing Church's  $\lambda$ -calculus language as intermediate layer.

2012 ACM Subject Classification Theory of computation → Models and formalisms; Theory of computation → Type theory

Keywords and phrases Hilbert's tenth problem, Diophantine equations, undecidability, computability theory, induction, Minsky machines, Peano arithmetic,  $\lambda$ -calculus

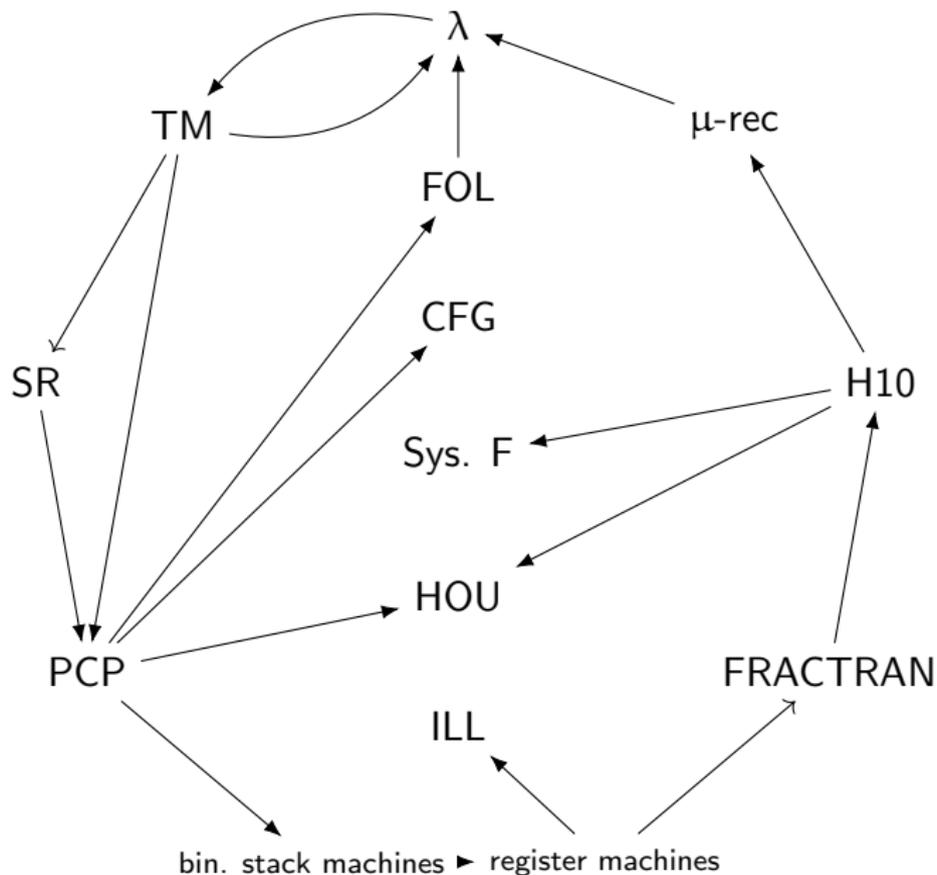
FSCD19

Base type:  $\mathbb{N} \times \text{list}(\mathbb{N} \times \mathbb{N})$

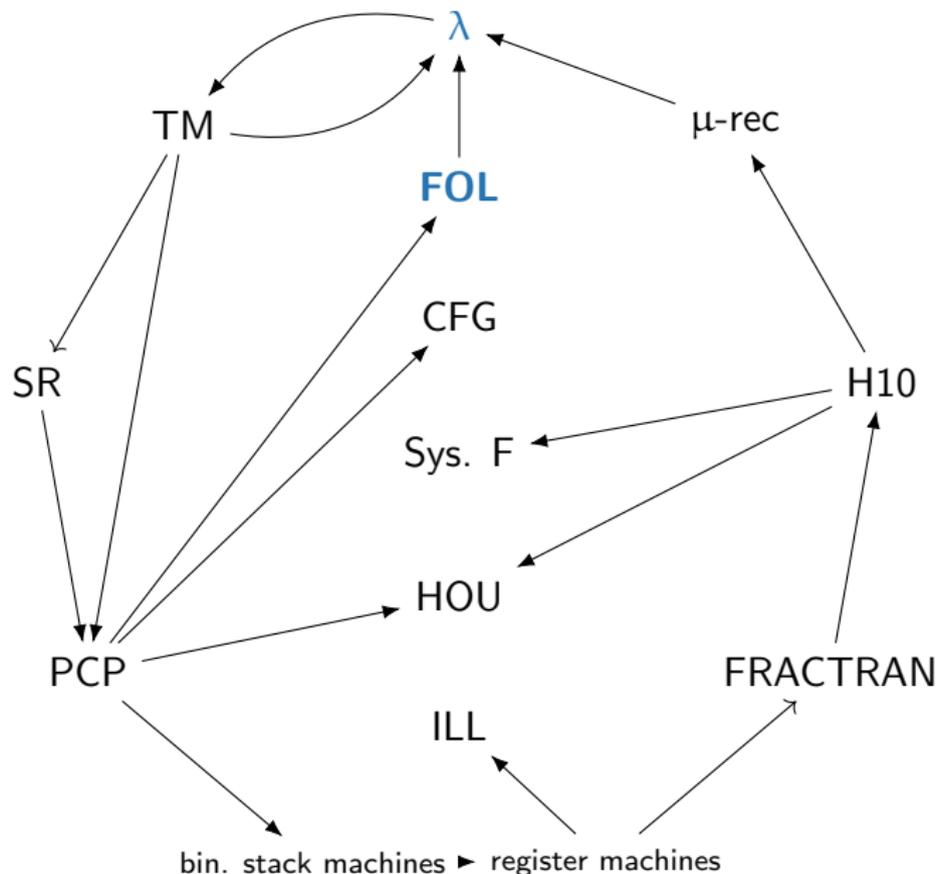
Definition:  $\text{FRACTRAN}(x, P) := x$  is terminating under  $P \vdash \succ \_$

$$\frac{q \cdot y = p \cdot x}{(p, q) :: P \vdash x \succ y} \quad \frac{q \not\mid p \cdot x \quad P \vdash x \succ y}{(p, q) :: P \vdash x \succ y}$$

*Good seed for target problems that can express multiplication and reflexive transitive closure.*



# Target problems



# First-order logic

## On Synthetic Undecidability in Coq, with an Application to the Entscheidungsproblem

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### Abstract

We formalise the computational undecidability of validity, satisfiability, and provability of first-order formulas following a synthetic approach based on the computation native to Coq's constructive type theory. Concretely, we consider Tarski and Kripke semantics as well as classical and intuitionistic natural deduction systems and provide compact many-one reductions from the Post correspondence problem (PCP). Moreover, developing a basic framework for synthetic computability theory in Coq, we formalise standard results concerning decidability, enumerability, and undecidability without reference to a concrete model of computation. For instance, we prove the equivalence of Post's theorem

like decidability, enumerability, and reductions are available without reference to a concrete model of computation such as Turing machines, general recursive functions, or the  $\lambda$ -calculus. For instance, representing a given decision problem by a predicate  $p$  on a type  $X$ , a function  $f : X \rightarrow \mathbb{N}$  with  $\forall x. p\ x \Leftrightarrow f\ x = 0$  is a decision procedure, a function  $g : \mathbb{N} \rightarrow X$  with  $\forall x. p\ x \Leftrightarrow (\exists n. g\ n = x \wedge p\ (g\ n))$  is an enumeration, and a function  $h : X \rightarrow \mathbb{N}$  with  $\forall x. p\ x \Leftrightarrow (\exists n. h\ n = x \wedge p\ (h\ n))$  for a predicate  $q$  on a type  $Y$  is a many-one reduction from  $p$  to  $q$ . Working internally in Coq, our model is instead is constructive, given that the defined procedure needs to be shown representable by a concrete entity of the model. To avoid this technicality, presentations next to informal arguments reiterate the algorithmic essence at the core

To reduce a problem to first-order provability, show that its definition is expressible as a first-order formula.

$$P(x) \leftrightarrow \Gamma \vdash \varphi_x$$

Usual proof strategy:

→ By induction on the definition of  $P$ .

← By defining the standard model for  $P$  and soundness.

# The cbv $\lambda$ -calculus L

## Definition

A problem  $P : X \rightarrow \mathbb{P}$  is L-enumerable if there is an L-computable function  $f : \mathbb{N} \rightarrow \text{option } X$  s.t.  $Px \leftrightarrow \exists n. fn = \lfloor x \rfloor$ .

## Theorem

*A problem  $P : X \rightarrow \mathbb{P}$  reduces to the L-halting problem if it is L-enumerable and its base type has an equality decider in L.*

Strategy to reduce  $P$  to L-halting:

- 1 Give enumerating function  $f : \mathbb{N} \rightarrow \text{option } X$  (purely in Coq)
- 2 Give equality decider  $X \rightarrow X \rightarrow \mathbb{B}$  (purely in Coq)
- 3 Give encoding for  $X$  in L (mostly automatic)
- 4 Use extraction to L from ITP '19 (fully automatic)

Weak Call-by-Value Lambda Calculus  
as a Model of Computation in Coq

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Abstract. We formalise a weak call-by-value  $\lambda$ -calculus in Coq, its  
constructive type theory of Coq and study it as a model of computation  
programming language and as a model of computation. We show key  
results including (1) semantic properties of provability and undecidability,  
(2) the class of total procedures is not recognizable, (3) the class of decidable  
if it is recognizable, corecognizable, and logically decidable, and (4) a

ITP'19

# Advanced problems

## Verified Programming of Turing Machines in Coq

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### Abstract

We present a framework for the verified programming of multi-tape Turing machines in Coq. Improving on prior work by Asperti and Ricciotti in Matita, we implement multiple layers of abstraction. The highest layer allows a user to implement nontrivial algorithms as Turing machines and verify their correctness, as well as time and space complexity compositionally. The user can do so without ever mentioning states, symbols on tapes or transition functions: They write programs in an imperative language with registers containing values of encodable data types, and our framework constructs corresponding Turing machines.

As case studies, we verify a translation from multi-tape to single-tape machines as well as a universal Turing machine, both with polynomial time overhead and constant factor

not clear at all how to compose a two-tape Turing machine with a three-tape Turing machine that works on a different alphabet. Therefore, it is common to rely on pseudo code or prose describing the intended behaviour. The exact implementation as well as its correctness or resource analysis is left as an exercise to the reader. In a mechanised proof, these details cannot be left out. Luckily, it is possible to hide those details behind suitable abstractions.

We present a framework that aims to have the ease of use that too when it comes to mechanising computation in the terms of Turing machines. Algorithms are expressed in the style of a register based while-language, as well as a Turing machine is automatically constructed for each program. Our framework furthermore characterises the semantics by deriving two relations for each machine, one witnessing partial

## Turing Machine halting

## Undecidability of Higher-Order Unification Formalised in Coq

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### Abstract

We formalise undecidability results concerning higher-order unification in the simply-typed  $\lambda$ -calculus with  $\beta$ -conversion in Coq. We prove the undecidability of general higher-order unification by reduction from Hilbert's tenth problem, the solvability of Diophantine equations, following a proof by Dowek. We sharpen the result by establishing the undecidability of second-order and third-order unification following proofs by Goldfarb and Huot, respectively.

Goldfarb's proof for second-order unification is by reduction from Hilbert's tenth problem. Huot's original proof uses the Post correspondence problem (PCP) to show the undecidability of third-order unification. We simplify and formalise

second-order unification only mentions terms with free variables of second-order type. In contrast, third-order unification is concerned with terms where variables have a type of at most third order.

In 1965, first-order unification was shown to be decidable by Robinson [1965] and even has linear decision algorithms [Martelli and Montanari 1978; Paterson and Simon 1978]. In 1972, Huot [1972, 1973] and Tschöningh independently showed that third-order unification is undecidable, thereby establishing the undecidability of higher-order unification in general. In 1981, Huot's proof is by reduction from Post's correspondence problem [1946]. In 1981, Goldfarb [1981] proved the undecidability of second-order unification by reduction from the Post correspondence problem.

## Higher-order unification

## A Simpler Undecidability Proof for System F Inhabitation

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### Abstract

Provability in the intuitionistic second-order propositional logic (resp. inhabitation in the polymorphic lambda-calculus) was shown by Löb to be undecidable in 1976. Since the original proof is heavily condensed, Arts in collaboration with Dekkers provided a fully unfolded argument in 1992 spanning approximately fifty pages. Later in 1997, Urzyczyn developed a different, syntax oriented proof. Each of the above approaches embeds (an undecidable fragment of) first-order predicate logic into second-order propositional logic.

In this work, we develop a simpler undecidability proof by reduction from the undecidability of Diophantine equations (is there an integer solution to  $P(x_1, \dots, x_n) = 0$  where  $P$  is a polynomial with integer coefficients?). Compared to the previous approaches, the given reduction is more accessible for formalisation and more comprehensible for algebraic novices. Additionally, we formalise these results

## System F inhabitation

## Certified Undecidability of Intuitionistic Linear Logic via Binary Stack Machines and Minsky Machines

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### Abstract

We formally prove the undecidability of entailment in intuitionistic linear logic in Coq. We reduce the Post correspondence problem (PCP) via binary stack machines and Minsky machines to intuitionistic linear logic. The reductions rely on several technically involved formalisations, amongst them a binary stack machine simulator for PCP, a verified low-level compiler for instruction-based languages and a soundness proof for intuitionistic linear logic with respect to trivial phase semantics. We exploit the computability of all functions definable in constructive type theory and thus do not have to rely on a concrete model of computation, enabling the reduction proofs to focus on correctness properties

the use of interactive theorem provers to assist ongoing research. However, formalisations of undecidability proofs are not common in the literature. There are two main obstacles in our eyes: (1) proofs on paper mostly omit the invariants needed for the verification of the reduction; (2) they omit the computability proof, which amounts to the formal verification of a program in the chosen model of computation.

Constructive type theory, as implemented in the proof assistant Coq [37], provides a particularly convenient setting for decidability and undecidability proofs. Since every function definable in constructive type theory is computable, one can use a synthetic approach to computability. This approach makes an explicit theory of computation and explicit

## Provability in Linear Logic

# Development Issues

In total: 75.000 LOC

- Development on Github, Travis CI helps a lot
- Still figuring out best practices to target multiple Coq versions
  - 1 different branches?
  - 2 `configure.sh` files?
  - 3 only target most up-to-date Coq version?
- Some parts of the library depend on Equations or MetaCoq. Should the whole library depend now? What about Windows users?
- Different used standard libraries lead to incompatibility
- Different trust in axioms

# Future Work

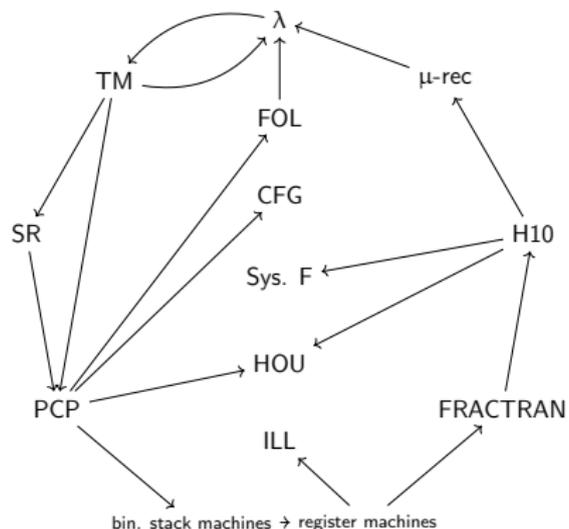
Include more problems

- Typability in  $\lambda\Pi$  or type inference in System  $F_\omega$
- Intersection problem of two-way automata
- Wang tiling problems, Post's tag systems
- Subtyping in  $F_{\leq}$ , typability and type checking in System  $F$
- ZF entailment, Trakhtenbrot's theorem
- Semi unification

Work out foundations:

- What is a realizability model for Coq?
- Which axioms are compatible? Func. ext.? Prop. ext.? PI? EM?

Join us!



[github.com/uds-psl/coq-library-undecidability/](https://github.com/uds-psl/coq-library-undecidability/)

Questions?