

Constructive Reverse Mathematics of the Downwards Löwenheim-Skolem Theorem

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- Which theorems are equivalent to the axiom of choice or similar principles?
- Which theorems are equivalent to which comprehension principles?
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- Which theorems are equivalent to which specific formulation of the axiom of choice?
- Many more, see Ishihara (2006) and Diener (2018)

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Characterises the computational content of analysed theorems

The Downwards Löwenheim-Skolem Theorem¹

Definition (Elementary Submodels)

Given first-order models \mathcal{M} and \mathcal{N} , we call $h : \mathcal{M} \rightarrow \mathcal{N}$ an **elementary embedding** if

$$\forall \rho : \mathbb{N} \rightarrow \mathcal{M}. \forall \varphi. \mathcal{M} \models_{\rho} \varphi \leftrightarrow \mathcal{N} \models_{h \circ \rho} \varphi.$$

If such an elementary embedding h exists, we call \mathcal{M} an **elementary submodel** of \mathcal{N} .

Theorem (DLS)

Every model has a countable elementary submodel.

¹Löwenheim (1915); Skolem (1920)

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What is the constructive status of the DLS theorem?

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Classical Reverse Mathematics of DLS²

$$\text{DC}_A := \forall R : A \rightarrow A \rightarrow \mathbb{P}. \text{tot}(R) \rightarrow \exists f : \mathbb{N} \rightarrow A. \forall n. R(f\ n)(f\ (n+1))$$

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- To prove DLS from DC, arrange the iterative construction such that a single application of DC yields a path through all possible extensions that induces the resulting submodel.

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Sketch.

- To prove DLS from DC, arrange the iterative construction such that a single application of DC yields a path through all possible extensions that induces the resulting submodel.
- Starting with a total relation $R : A \rightarrow A \rightarrow \mathbb{P}$, consider (A, R) a model. Applying DLS, obtain an elementary submodel (\mathbb{N}, R') so in particular R' is still total. Apply $CC_{\mathbb{N}}$ to obtain a choice function for R' that is reflected back to A as a path through R . □

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Constructive Reverse Mathematics of DLS?

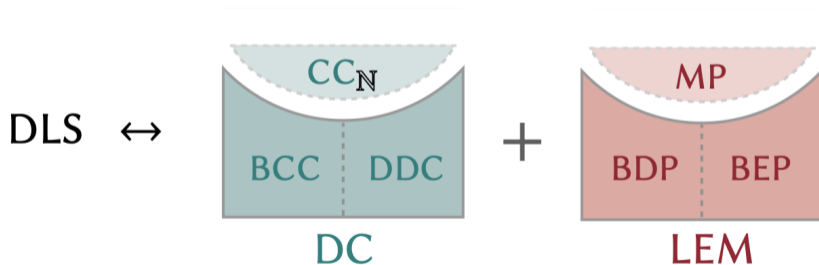
Over a base theory like the Calculus of Inductive Constructions of the Coq Proof Assistant:

- 1 Does the DLS theorem still follow from DC alone or is there some contribution of LEM?
- 2 Does the DLS theorem still imply DC or is there some contribution of CC?

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Classical Argument

DLS using Henkin Environments

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Definition (Henkin Environment)

Given a model \mathcal{M} , we call $\rho : \mathbb{N} \rightarrow \mathcal{M}$ a **Henkin environment** if for all φ :

$$\exists n. \mathcal{M} \vDash_{\rho} \varphi[\rho n] \rightarrow \mathcal{M} \vDash_{\rho} \dot{\forall} \varphi$$

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Every model with a Henkin environment has a countable elementary submodel.

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Lemma

Every model with a Henkin environment has a countable elementary submodel.

Proof.

Given a model \mathcal{M} and a Henkin environment ρ , we obtain a countable elementary submodel as the syntactic model \mathcal{N} constructed over the domain \mathbb{T} of terms by setting

$$f^{\mathcal{N}} \vec{t} := f \vec{t} \quad \text{and} \quad P^{\mathcal{N}} \vec{t} := P^{\mathcal{M}} (\hat{\rho} \vec{t}). \quad \square$$

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In every bar, one can identify a person such that,
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Proof.

To derive LEM from DP, given $p : \mathbb{P}$ use DP for $A := \{b : \mathbb{B} \mid b = \text{false} \vee (p \vee \neg p)\}$ and $P : A \rightarrow \mathbb{P}$ defined by $P(\text{true}, _) := \neg p$ and $P(\text{false}, _) := \top$. □

DLS assuming DC and LEM

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Assuming DC and LEM, the DLS theorem holds.

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Reverse Analysis

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Assuming $CC_{\mathbb{N}}$, the DLS theorem implies DC.

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Following the outline from the beginning, using the assumption of $CC_{\mathbb{N}}$ to obtain a choice function in the countable elementary submodel. □

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So over $CC_{\mathbb{N}}$ and LEM, the DLS theorem is equivalent to DC.

Refining the Use of LEM

DLS using Blurred Henkin Environments

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Definition (Henkin Environment)

Given a model \mathcal{M} , we call $\rho : \mathbb{N} \rightarrow \mathcal{M}$ a **blurred Henkin environment** if for all φ :

$$\begin{aligned}(\forall n. \mathcal{M} \models_{\rho} \varphi[\rho n]) &\rightarrow \mathcal{M} \models_{\rho} \dot{\forall} \varphi \\ \mathcal{M} \models_{\rho} \dot{\exists} \varphi &\rightarrow (\exists n. \mathcal{M} \models_{\rho} \varphi[\rho n])\end{aligned}$$

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Proof.

Given a model \mathcal{M} and a blurred Henkin environment ρ , we obtain a countable elementary submodel as the same syntactic model \mathcal{N} constructed over the domain \mathbb{T} from before. \square

The Blurred Drinker Paradox (BDP)

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$$\text{BDP}_A := \forall P : A \rightarrow \mathbb{P}. \exists f : \mathbb{N} \rightarrow A. (\forall y. P(f y)) \rightarrow \forall x. P x$$

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Fact

LEM decomposes into $\text{BDP} + \text{DP}_{\mathbb{N}}$ and even $\text{BDP} + \text{MP}$, similarly for BEP.

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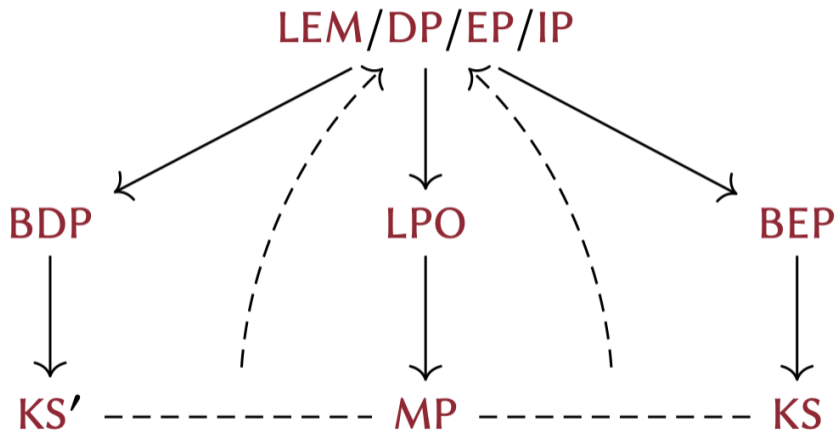
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LEM decomposes into $\text{BDP} + \text{DP}_{\mathbb{N}}$ and even $\text{BDP} + \text{MP}$, similarly for BEP.

Proof.

The first decomposition is trivial. The latter follows since BDP implies Kripke's schema (KS) which is known to imply LEM in connection to MP. \square

Classification of BDP



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Using the same pattern as in the previous analysis, basically DLS reduces BDP to the trivially provable $\text{BDP}_{\mathbb{N}}$, respectively BEP to the trivially provable $\text{BEP}_{\mathbb{N}}$. \square

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So over CC_N , the DLS theorem decomposes into $\text{DC} + \text{BDP} + \text{BEP}$.

Refining the Use of DC

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$$\text{BCC}_A := \forall R : \mathbb{N} \rightarrow A \rightarrow \mathbb{P}. \text{tot}(R) \rightarrow \exists f : \mathbb{N} \rightarrow A. \forall n. \exists m. R n (f m)$$

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CC decomposes into $\text{BCC} + \text{CC}_{\mathbb{N}}$ and DC decomposes into $\text{DDC} + \text{CC}$.

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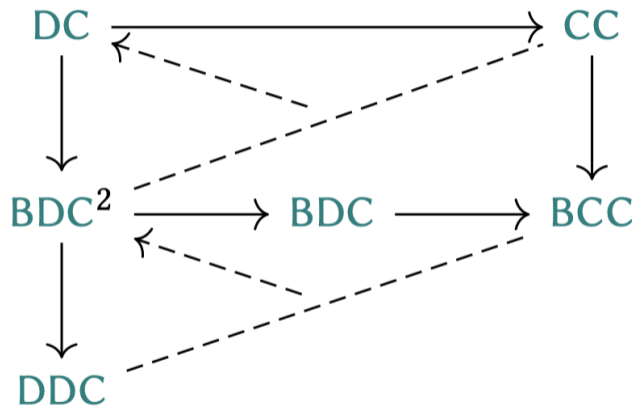
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BDC^2 decomposed into $\text{BCC} + \text{DDC}$.

Classification of Blurred Choice Axioms



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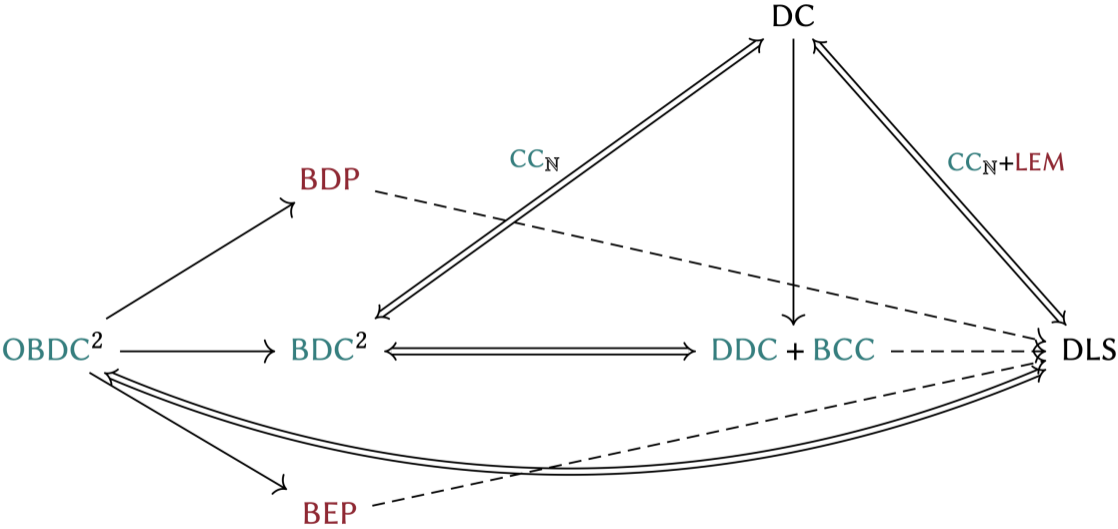
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So the DLS theorem decomposes into $BDC^2 + BDP + BEP$.

Conclusion

Overview



Remaining Questions?

- What happens with uncountable cardinalities?
 - ▶ Weaker forms of blurred drinker paradoxes, stronger forms of blurred choice principles
- Are the blurred principles weaker than the original?
 - ▶ We expect $\text{BDP} \not\rightarrow \text{LEM}$, $\text{BCC} \not\rightarrow \text{CC}$, and $\text{DDC} \not\rightarrow \text{BCC}$
- What is the constructive status of the upwards Löwenheim-Skolem theorem?
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Thank you!

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